



CD Equisearch Private Limited
Depository Participant of Central Depository Services (India) Ltd.



Sebi Registration No. : IN-DP-CDSL-180-2002
 Corporate Office : 10, Vaswani Mansion, 2nd Floor, Dinshaw Waccha Road, Churchgate, Mumbai-400 020, India
 Tel. : +91 22 3355 0000, 6637 8445/6/7, Fax : +91 22 2283 2276
 Regd. Off.: 37, Shakespeare Sarani, 1st Floor, Kolkata - 700 017, Tel. : +91 33 3355 0000, Fax : +91 33 2289 2557

Application Form for Opening a Demat Account

Individual NRI Foreign National

(To be filled by the Depository Participant)

Application No. CDS	Date																		
DP Internal Reference No.																			
DP ID :	1	2	0	2	7	7	0	0	Client ID										

(To be filled by the applicant in **BLOCK LETTERS** in English)

I/We request you to open a Demat Account in my / our name as per the following details :

Sole / First Holder's Details

First Name																										
Middle Name																										
Last Name																										
Father / Husband Name																										
Title	<input type="checkbox"/> Mr.	<input type="checkbox"/> Mrs.	<input type="checkbox"/> Ms.	<input type="checkbox"/> Others	Suffix																					
Correspondence Address																										
City													State													
Country													PIN													
Telephone No.					Fax No.					Mobile No.																
PAN																										
E-mail ID																										
Permanent Address (If different from Correspondence Address)																										
City													State													
Country													PIN													
Telephone No.					Fax No.					Mobile No.																
PAN																										
E-mail ID																										

Joint Holders - Second Holder's Details

First Name																											
Middle Name																											
Last Name																											
Father / Husband Name																											
Title	<input type="checkbox"/> Mr.	<input type="checkbox"/> Mrs.	<input type="checkbox"/> Ms.	<input type="checkbox"/> Others	Suffix																						
Permanent Address																											
City													State														
Country													PIN														
Telephone No.					Fax No.					Mobile No.																	
PAN																											
E-mail ID																											

Joint Holders - Third Holder's Details

First Name																												
Middle Name																												
Last Name																												
Father / Husband Name																												
Title	<input type="checkbox"/> Mr.	<input type="checkbox"/> Mrs.	<input type="checkbox"/> Ms.	<input type="checkbox"/> Others	Suffix																							
Permanent Address																												
City													State															
Country													PIN															
Telephone No.					Fax No.					Mobile No.																		
PAN																												
E-mail ID																												

N.B. : Please put your signature where ⊗ is marked

Type of Account (Please tick whichever is applicable)

<input type="checkbox"/> Individual	<input type="checkbox"/> Individual Resident <input type="checkbox"/> Individual Director's Relative <input type="checkbox"/> Individual Promoter <input type="checkbox"/> Individual Margin Trading A/C (MANTRA)	<input type="checkbox"/> Individual - Director <input type="checkbox"/> Individual HUF / AOP <input type="checkbox"/> Others (specify)
<input type="checkbox"/> NRI	<input type="checkbox"/> NRI Repatriable <input type="checkbox"/> NRI Repatriable Promoter <input type="checkbox"/> NRI - Depository Receipts	<input type="checkbox"/> NRI Non- Repatriable <input type="checkbox"/> NRI Non-Repatriable Promoter <input type="checkbox"/> Others (specify)
<input type="checkbox"/> Foreign National	<input type="checkbox"/> Foreign National	<input type="checkbox"/> Foreign National - Depository Receipts <input type="checkbox"/> Others (specify)
I/We instruct the DP to receive each and every credit in my / our account IN ACCORDANCE WITH REGULATION 42(B) OF SEBI (D&P) REGULATIONS		[Automatic Credit] <input type="checkbox"/> Yes <input type="checkbox"/> No
Account Statement Requirement	<input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly	<input type="checkbox"/> Monthly
Do you wish to receive dividend / interest directly in to your bank account given below through ECS?		<input type="checkbox"/> Yes <input type="checkbox"/> No

Bank Details (Dividend Bank Details)

Bank Code (9 digit MICR code)										
Bank Name										
Branch										
Bank Address										
City	State		Country		PIN					
Account Number										
Account Type	<input type="checkbox"/> Saving <input type="checkbox"/> Current <input type="checkbox"/> Cash Credit <input type="checkbox"/> Other (specify)									

- (i) Photocopy of the cancelled cheque having the name of the account holder where the cheque book is issued, (or)
- (ii) Photocopy of the Bank Statement having name and address of the BO and not more than 4 months old, (or)
- (iii) Photocopy of the Passbook having name and address of the BO, (or)
- (iv) Letter from the Bank.

In case of options (ii), (iii) and (iv) above, MICR code of the branch should be present / mentioned on the document and it should be self-certified by the BO.

I / We have read the terms & conditions DP-BO agreement and agree to abide by and be bound by the same and by the Bye Laws as are in force from time to time. I / We declare that the particulars given by me / us above are true and to the best of my / our knowledge as on the date of making this application. I / We agree and undertake to intimate the DP any change(s) in the details / Particulars mentioned by me / us in this form. I / We further agree that any false / misleading information given by me / us or suppression of any material information will render my account liable for termination and suitable action.

	First / Sole Holder	Second Joint Holder	Third Joint Holder
Name			
Signatures	⊗	⊗	⊗
Passport size Photograph	(Please sign across the Photograph) ⊗	(Please sign across the Photograph) ⊗	(Please sign across the Photograph) ⊗

Signatures should be preferably in black ink)

[in case of minor holder, photograph of guardian has to be affixed alongwith the minor's photograph]

Name * _____
* In case of Firms, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the name of the natural persons, the name of the Firm, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., should be mentioned above.

Details of Introduction by an existing demat account holder												
Introducer's Name _____												
DP ID	1	2	0	2	7	7	0	0	Client Id			
Signature of the Introducer _____									Verified			
												Signature of the DP Official _____

Additional Details			
SMS Alert Facility	<input type="checkbox"/> Yes	MOBILE NO. +91 _____ Refer to Terms & Conditions given as Annexure-A	<input type="checkbox"/> No
easi	<input type="checkbox"/> Yes.	If yes, please contact your DP for details [Facility through CDSL's website: www.cdslindia.com wherein a BO can view his ISIN balances, transactions and value of the portfolio online.]	<input type="checkbox"/> No

Details For First Holder												
Date of Birth	D	D	M	M	Y	Y	Y	Y				
Nationality	<input type="checkbox"/> Indian <input type="checkbox"/> Other (specify) _____											
Sex	<input type="checkbox"/> Male <input type="checkbox"/> Female											
Occupation	Service (<input type="checkbox"/> Central Govt. <input type="checkbox"/> State Govt. <input type="checkbox"/> Public / Private Sector <input type="checkbox"/> NGO <input type="checkbox"/> Statutory Body) <input type="checkbox"/> Professional <input type="checkbox"/> Business <input type="checkbox"/> Student <input type="checkbox"/> Retired. <input type="checkbox"/> Housewife <input type="checkbox"/> Others (Specify) _____											
Nature of business: (Products / services provided)												
Financial Details:	Income Range per annum <input type="checkbox"/> Up to Rs. 1,00,000 <input type="checkbox"/> Rs. 1,00,001 to Rs. 2,00,000 <input type="checkbox"/> Rs. 2,00,001 to Rs. 5,00,000 <input type="checkbox"/> More than Rs. 5,00,000											

Details For Joint Second Holder												
Date of Birth	D	D	M	M	Y	Y	Y	Y				
Nationality	<input type="checkbox"/> Indian <input type="checkbox"/> Other (specify) _____											
Sex	<input type="checkbox"/> Male <input type="checkbox"/> Female											
Occupation	Service (<input type="checkbox"/> Central Govt. <input type="checkbox"/> State Govt. <input type="checkbox"/> Public / Private Sector <input type="checkbox"/> NGO <input type="checkbox"/> Statutory Body) <input type="checkbox"/> Professional <input type="checkbox"/> Business <input type="checkbox"/> Student <input type="checkbox"/> Retired. <input type="checkbox"/> Housewife <input type="checkbox"/> Others (Specify) _____											
Nature of business: (Products / services provided)												

Details For Joint Third Holder												
Date of Birth	D	D	M	M	Y	Y	Y	Y				
Nationality	<input type="checkbox"/> Indian <input type="checkbox"/> Other (specify) _____											
Sex	<input type="checkbox"/> Male <input type="checkbox"/> Female											
Occupation	Service (<input type="checkbox"/> Central Govt. <input type="checkbox"/> State Govt. <input type="checkbox"/> Public / Private Sector <input type="checkbox"/> NGO <input type="checkbox"/> Statutory Body) <input type="checkbox"/> Professional <input type="checkbox"/> Business <input type="checkbox"/> Student <input type="checkbox"/> Retired. <input type="checkbox"/> Housewife <input type="checkbox"/> Others (Specify) _____											
Nature of business: (Products / services provided)												

Dated :

To,
M/s CD Equisearch Pvt. Ltd.
37, Shakespeare Sarani
Kolkata - 700 017

Dear Sir,

Re : Adjustment of DP Charges

I Mr / Mrs. / Ms. M/s. _____ is having a Unique Client Code _____ (Trading Account) with the company to carry out transactions in sale and purchase of shares in Capital Market Segment of National Stock Exchange of India Ltd (NSE) and The Stock Exchange, Mumbai (BSE) and / or derivatives market segment of NSE / BSE.

I also have a Demat Account No. 12027700 _____ with your company. I do hereby authorize you to debit depository charges for the aforesaid DP Account maintained with your company from my said trading account maintained in your books.

Thanking you

Yours Sincerely

Seal & Signature of Trading Account Holder

⊗

Name _____

Address _____

**AGREEMENT BETWEEN A PARTICIPANT AND A PERSON SEEKING TO
OPEN A BENEFICIAL OWNER'S ACCOUNT**

This Agreement made and entered into at this day of, between **CD EQUISEARCH PVT. LTD.** having its registered office at 37, Shakespeare Sarani, Kolkata - 700 017 here in after called "the Participant" of the One Part; AND, (description of the legal entity) having his / her / its office / registered office / address at

here in after called "the Beneficial Owner" of the Other Part.

WHEREAS the Beneficial Owner is desirous of opening a beneficial owner's account with the Participant and the Participant has agreed to open an account in the name of the Beneficial Owner and render services to the Beneficial Owner as a Participant, on the terms and conditions recorded hereinbelow :

NOW THIS AGREEMENT WITNESSETH AND IT IS HEREBY AGREED BY AND BETWEEN THE PARTIES HERETO AS FOLLOWS :

1. General Clause

The parties hereto agree to abide by the provisions of the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996, Bye Laws and Operating Instructions issued by CDSL from time to time in the same manner and to the same extent as if the same were set out herein and formed part of this Agreement.

⊗

Signature of 1st Holder

2. Fees, Charges and deposits

The Participant shall be entitled to change or revise the fees, charges or deposits from time to time provided however that no increase therein shall be effected by the Participant unless the Participant shall have given at least one month's notice in writing to the Beneficial Owner in that behalf. In case the Beneficial Owner committing a default in the payment of any such amount payable to the Participant on their respective due dates or within fifteen days of the same being demanded (where no such due date is specified), the Participant shall be entitled to charge interest on the amount remaining outstanding or unpaid at the rate of 18% per annum or part thereof. On such continued default, the Participant after giving two days notice to the Beneficial Owner shall have a right to stop processing of instructions of Beneficial Owners till such time he makes the payment along with interest if any.

3. Statement of Account

3.1 The Participant shall furnish to the Beneficial Owner a statement of his/its account at the end of every month if there has been even a single entry or transaction during that month, and in any event once at the end of each quarter. The statement of accounts to be provided to the Beneficial Owner under this Agreement shall be in the form specified in Operating Instructions or as communicated by CDSL from time to time. The Participant shall furnish such statements at such shorter periods as may be required by the Beneficial Owner on payment of such charges by the Beneficial Owner as may be specified by the Participant.

⊗

Signature of 2nd Holder

3.2 It is agreed that if a Participant is providing the services of issuing the statement of accounts in an electronic mode and if the Beneficial Owner is desirous of availing such services from the Participant, then the Participant will furnish to the Beneficial Owner the statement of accounts under its digital signature, as governed under the Information Technology Act, 2000. Provided however that in the event of the Beneficial Owner requiring a physical copy of the statement of accounts, despite receiving the same in the electronic mode, then irrespective of having forwarded the same via electronic mode, the Participant shall be obliged to provide a physical copy thereof to the BO.

3.3 It is agreed and understood between the parties that if the Participant does not have the facility of providing the statement of account in the electronic mode, then the Participant shall be obliged to forward the statement of account only in physical form.

4. Beneficial Owner shall intimate change of particulars

The Participant shall not be liable or responsible for any loss that may be caused to the Beneficial Owner by reason of his/its failure to intimate change in the particulars furnished to the Participant from time to time, unless notified by the Beneficial Owner.

5. Depository not liable for claims against Beneficial Owner

CDSL shall not be liable to the Beneficial Owner in any manner towards losses, liabilities and/or expenses arising from the claims of third parties or for any fees, charges, taxes, duties, levies or penalties levied, imposed or demanded by any Central, State, statutory or revenue authority in respect of securities credited to the Beneficial Owner's account.

⊗

Signature of 3rd Holder

⊗

Signature of 1st Holder

⊗

Signature of 2nd Holder

⊗

Signature of 3rd Holder

6. Authorised Representative

Where the Beneficial Owner is a body corporate or a legal entity, it shall, simultaneously with the execution of the Agreement furnish to the Participant, a list of officials authorized by it, who shall represent and interact on its behalf with the Participant. Any change in such list including additions, deletions or alterations thereto shall be forthwith communicated to the Participant.

7. Closure of Account

The Beneficial Owner, may, at any time terminate this Agreement by calling upon the Participant to close his/its account with the Participant in the manner and on the terms and conditions set out in the Bye Laws and the procedure laid down in the Operating Instructions. In the event of termination of this Agreement the Beneficial Owner shall either get the securities transferred to some other account or get the same rematerialised.

8. Stamp Duty

Any stamp duty (including interest or penalty levied thereon) payable on the Agreement and/or on any deed, document or writing executed in pursuance hereof between the parties hereto shall be borne and paid by the Beneficial Owner.

9. Force Majeure

Notwithstanding anything contained herein or in the Bye Laws, neither party hereto shall be liable to indemnify or compensate the other for any breach, non-performance or delay in performance of any obligations under the Agreement or for any harm, loss, damage or Injury, caused to the other due to causes reasonably beyond its control including but not limited to tide, storm, cyclone, flood, lightning, earthquake, fire, blast, explosion or any other act of God, war, rebellion, revolution, insurrection, embargo or sanction, blockade, riot, civil commotion, labour action or unrest including strike, lock-out or boycott, interruption or failure of any utility service, enemy action, criminal conspiracy, act of terrorism or vandalism, sabotage, or intrusion, or any other irresistible force or compulsion.

10. Service of Notice

Any notice or communication required to be given under the Agreement shall not be binding unless the same is in writing and shall have been served by delivering the same at the address set out hereinabove against a written acknowledgement of receipt thereof or by sending the same by pre-paid registered post at the aforesaid address or transmitting the same by facsimile transmission, electronic mail or electronic data transfer at number or address that shall have been previously specified by the party to be notified. Notice given by personal delivery shall be deemed to be given at the time of delivery. Notice given by post in accordance with this clause shall be deemed to be given at the commencement of business of the recipient of the notice on the third working day next following its posing. Notice sent by facsimile transmission, electronic mail or electronic data transfer shall be deemed to be given at the time of its actual transmission.

11. Arbitration

The parties hereto shall, in respect of all disputes and differences that may arise between them, abide by the provisions relating to arbitration and conciliation specified under the Bye-Laws.

12. Jurisdiction

The parties hereto agree to submit to the exclusive jurisdiction of the courts in KOLKATA.

13. Governing Law

The Agreement shall be governed by and construed in accordance with the laws in force in India.

IN WITNESS WHEREOF the parties hereto have hereunto set and subscribed their respective hands/seals to this Agreement in duplicate on the day, month, year and place first hereinabove mentioned.

SIGNED AND DELIVERED BY
(For and on behalf of **CD EQUISEARCH PVT. LTD.**)

_____ by the hand of its authorised representative

Shri _____

Signed and delivered by

⊗

_____ First Holder (for and on behalf of the BO)

⊗

_____ Second Holder

⊗

_____ Third Holder

(Witness) _____

Name : _____

Address _____

(Witness) _____

Name : _____

Address _____

POWER OF ATTORNEY

TO ALL TO WHOM THESE PRESENTS SHALL COME I/We _____ having address _____ hereby SEND GREETINGS:

Whereas I/We hold a Beneficiary Account no. _____ (BO-ID) with Central Depository Services (India) Limited, through **CD EQUISEARCH PRIVATE LIMITED** (name of the Depository Participant) bearing DP-ID **12027700**.

And Whereas I am / we are an investor engaged in buying and selling of securities through **CD EQUISEARCH PRIVATE LIMITED**, a member of National Stock Exchange of India Ltd., Bombay Stock Exchange Ltd., MCX Stock Exchange Limited, bearing SEBI Registration No. INB 230781137, INE 230781135, INF 230781137, INB 010781133, INF 010781133, INE 260781137, respectively.

And Whereas due to exigency and paucity of time, I am / we are desirous of appointing an agent / attorney to operate the aforesaid beneficiary account on my/our behalf for a limited purpose in the manner hereinafter appearing :

NOW KNOW I / WE ALL AND THESE PRESENTS WITNESSETH THAT I / WE THE ABOVE NAMED DO HEREBY NOMINATE, CONSTITUTE/AND APPOINT **M/S. CD EQUISEARCH PRIVATE LIMITED** and any of its authorized agent and/or its authorized employee and/or its authorized person, as my/our true and lawful attorney (hereinafter referred to as the attorney) for me / us and on my / our behalf and in my / our name from time to time to debit securities and / or to transfer securities from the above mentioned account or any other account informed by me in writing to the stock broker, and at my risk/our risk and costs to do, perform or execute all or any of the following acts, powers, authorities, deeds, matters and things mentioned hereunder:

- a) to instruct DP to debit securities and/or to transfer securities to the demat account **BSE Principal Account No. 1202770000020013, CM BP ID IN651178 & Clearing member ID 87 & NSE Pool Account No. 1202770000017513, CM BP ID IN550104 & Clearing member ID M50563 for the purpose of delivering the same to the pool account of the said CD EQUISEARCH PRIVATE LIMITED** or to the clearing house of the recognized stock exchange directly, whichever deems fit, toward any segment in respect of securities sold by me / us through them.
- b) to instruct DP to block, hold, debit and/or create pledge/ unpledge, lien, hypothecation on securities available in my/our demat account on my/our behalf, in its own favour or in favour of a Clearing House/Clearing Corporation/ Exchange and/or any other entity to meet my settlement and/or margin obligations.
- c) to return securities that may have been received by it erroneously or those securities that it was not entitled to receive from me/us.

I/We further authorize the said Attorney (Stock Broker/Depository Participant) to send consolidated summary of scrip-wise buy and sell positions taken with average rates to me/us by way of SMS/email on a daily basis.

This authority is restricted to **pay-in obligations** and/or **settlement/margin obligations** arising out of the transactions effected by me / us through **CD EQUISEARCH PRIVATE LIMITED** and I / we ratify the instructions given by the aforesaid Clearing Member to the Depository Participant named hereinabove in the manner specified herein.

That powers and authorities conferred by this Power of Attorney can be revoked at any point of time, by providing a written notice.

SIGNED AND DELIVERED

By the within named Beneficial Owner:

1st Holder: _____

2nd Holder _____

3rd Holder: _____

IN THE PRESENCE OF:

Name of Witness

Sign of Witness

I Accept :
For CD Equisearch Pvt. Ltd.

Authorised Signatory

N.B: All the holders should sign at the places where is marked.

Signature of 1st Holder

Signature of 2nd Holder

Signature of 3rd Holder

Terms And Conditions-cum-Registration / Modification Form for receiving SMS Alerts from CDSL

Definitions:

In these Terms and Conditions the terms shall have following meaning unless indicated otherwise:

1. Depository. means Central Depository Services (India) Limited a company in incorporated in India under the Companies Act 1956 and having its registered office at 17th Floor, P.J. Towers, Dalal Street, Fort, Mumbai 400001 and all its branch offices and includes its successors and assigns.
2. DP. means Depository Participant of CDSL. The term covers all types of DPs who are allowed to open demat accounts for investors.
3. BO. means an entity that has opened a demat account with the depository. The term covers all types of demat accounts, which can be opened with a depository as specified by the depository from time to time.
4. SMS means Short Messaging Service.
5. Alerts. means a customized SMS sent to the BO over the said mobile phone number.
6. Service Provider. means a cellular service provider(s) with whom the depository has entered / will be entering into an arrangement for providing the SMS alerts to the BO.
7. Service. means the service of providing SMS alerts to the BO on best effort basis as per these terms and conditions.

Availability:

1. The service will be provided to the BO at his / her request and at the discretion of the depository. The service will be available to those accountholders who have provided their mobile number to the depository through their DP. The services may be discontinued for a specific period / indefinite period, with or without issuing any prior notice for the purpose of security reasons or system maintenance or for such other reasons as may be warranted. The depository may also discontinue the service at any time without giving prior notice for any reason whatsoever.
2. The service is currently available to the BOs who are residing in India.
3. The alerts will be provided to the BOs only if they remain within the range of the service providers service area or within the range forming part of the roaming network of the service provider.
4. In case of joint accounts and non-individual accounts the service will be available, only to one mobile number i.e. to the mobile number as submitted at the time of registration / modification.
5. The BO is responsible for promptly intimating to the depository in the prescribed manner any change in mobile number, or loss of handset, on which the BO wants to receive the alerts from the depository. In case of change in mobile number not intimated to the depository, the SMS alerts will continue to be sent to the last registered mobile phone number. The BO agrees to indemnify the depository for any loss or damage suffered by it on account of SMS alerts sent on such mobile number.

Receiving Alerts:

1. The depository shall send the alerts to the mobile phone number provided by the BO while registering for the service or to any such number replaced and informed by the BO from time to time. Upon such registration / change, the depository shall make every effort to update the change in mobile number within reasonable period of time. The depository shall not be responsible for any event of delay or loss of message in this regard.
2. The BO acknowledges that the alerts will be received only if the mobile phone is in 'ON'. and in a mode to receive the SMS. If the mobile phone is in 'OFF' mode i.e. unable to receive the alerts then the BO may not get / get after delay any alerts sent during such period.
3. The BO also acknowledges that the readability, accuracy and timeliness of providing the service depend on many factors including the infrastructure, connectivity of the service provider. The depository shall not be responsible for any non-delivery, delayed delivery or distortion of the alert in any way whatsoever.
4. The BO further acknowledges that the service provided to him is an additional facility provided for his convenience and is susceptible to error, omission and / or inaccuracy. In case the BO observes any error in the information provided in the alert, the BO shall inform the depository and / or the DP immediately in writing and the depository will make best possible efforts to rectify the error as early as possible. The BO shall not hold the depository liable for any loss, damages, etc. that may be incurred / suffered by the BO on account of opting to avail SMS alerts facility.
5. The BO authorizes the depository to send any message such as promotional, greeting or any other message that the depository may consider appropriate, to the BO. The BO agrees to an ongoing confirmation for use of name, email address and mobile number for marketing offers between CDSL and any other entity.
6. **The BO agrees to inform the depository and DP in writing of any unauthorised debit to his BO account / unauthorised transfer of securities from his BO account, immediately, which may come to his knowledge on receiving SMS alerts. The BO may send an email to CDSL at complaints@cdslindia.com. The BO is advised not to inform the service provider about any such unauthorised debit to / transfer of securities from his BO account by sending a SMS back to the service provider as there is no reverse communication between the service provider and the depository.**
7. The information sent as an alert on the mobile phone number shall be deemed to have been received by the BO and depository shall not be under any obligation to confirm the authenticity of the person(s) receiving the alert.
8. The depository will make best efforts to provide the service. The BO cannot hold the depository liable for non-availability of the service in any manner whatsoever.
9. If the BO finds that the information such as mobile number etc., has been changed without proper authorization, the BO should immediately inform the DP in writing.

Fees:

Depository reserves the right to charge such fees from time to time as it deems fit for providing this service to the BO.

Disclaimer:

The depository shall make reasonable efforts to ensure that the BO.s personal information is kept confidential. The depository does not warranty the confidentiality or security of the SMS alerts transmitted through a service provider. Further, the depository makes no warranty or representation of any kind in relation to the system and the network or their function or their performance or for any loss or damage whenever and howsoever suffered or incurred by the BO or by any person resulting from or in connection with availing of SMS alerts facility. The Depository gives no warranty with respect to the quality of the service provided by the service provider.

The Depository will not be liable for any unauthorized use or access to the information and / or SMS alert sent on the mobile phone number of the BO or for fraudulent, duplicate or erroneous use / misuse of such information by any third person.

Liability and Indemnity:

The depository shall not be liable for any breach of confidentiality by the service provider or by any third person due to unauthorized access to the information meant for the BO. In consideration of the depository providing the service, the BO agrees to indemnify and keep safe, harmless and indemnified the depository and its officials from any damages, claims, demands, proceedings, loss, cost, charges and expenses whatsoever which a depository may at any time incur, sustain, suffer or be put to as a consequence of or arising out of interference with or misuse, improper or fraudulent use of the service by the BO.

Amendments:

The depository may amend the terms and conditions at any time with or without giving any prior notice to the BOs. Any such amendments shall be binding on the BOs who are already registered as user of this service.

Governing Law and Jurisdiction:

Providing the Service as outlined above shall be governed by the laws of India and will be subject to the exclusive jurisdiction of the courts in Mumbai.

I/We wish to avail the SMS Alerts facility provided by the depository on my/our mobile number provided in the registration form subject to the terms and conditions mentioned below. **I / We consent to CDSL providing to the service provider such information pertaining to account/transactions in my/our account as is necessary for the purposes of generating SMS Alerts by service provider, to be sent to the said mobile number.**

I/ We have read and understood the terms and conditions mentioned above and agree to abide by them and any amendments thereto made by the depository from time to time. I / We further undertake to pay fee / charges as may be levied by the depository from time to time.

I / We further understand that the SMS alerts would be sent for a maximum four ISINs at a time. If more than four debits take place, the BOs would be required to take up the matter with their DP.

I / We am / are aware that mere acceptance of the Registration Form does not imply in any way that the request has been accepted by the depository for providing the service.

I / We provide the following information for the purpose of **REGISTRATION / MODIFICATION** (Please cancel out what is not applicable).

BOID

1	2	0	2	7	7	0	0									
---	---	---	---	---	---	---	---	--	--	--	--	--	--	--	--	--

(Please write your 8 digit Client ID)

Sole / First Holder's Name : _____

Second Holder's Name : _____

Third Holder's Name : _____

Mobile Number on which messages are to be sent

+91																
-----	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

(Please write only the mobile number without prefixing country code or zero)

The mobile number is registered in the name of : _____

Email ID: _____
(Please write only ONE valid email ID on which communication; if any, is to be sent)

Signatures : _____ Sole / First Holder _____ Second Holder _____ Third Holder

Place : _____ Date : _____

NOMINATION FORM

To
CD EQUISEARCH PRIVATE LIMITED
 37, Shakespeare Sarani, Kolkata - 700 017

Dear Sir / Madam,

I/We the sole holder / joint holders / Guardian (in case of minor) hereby declare that :

- I/We do not wish to nominate any one of this Demat Account (Strike out what is not applicable) (Signature of all account holders should be obtained on this form)
- I/We nominate the following person who is entitled to receive security balances lying in my/our account, particulars where of are given below, in the event of the death of the Sole holder or the death of all the Joint Holders.

BO Account Details																				
DP ID	1	2	0	2	7	7	0	0	Client ID											
Name of the Sole / First Holder																				
Name of the Second Joint Holder																				
Name of the Third Joint Holder																				

<p>Photograph of Nominee</p> <p>Passport size Photograph (Please sign across the photograph)</p>
--

<p>Photograph of Guardian (In case of Nominee is minor)</p> <p>Passport size Photograph (Please sign across the photograph)</p>

Nominee Details

First Name											
Middle Name											
Last Name											
Address for Correspondence											
City										State	
Country										PIN	
E-mail ID										Fax No.	
Relationship with BO (if any)											
Date of birth (If nominee is a minor)											

As the Nominee is a minor as on date, I/We appoint following person to act as guardian :

First Name											
Middle Name											
Last Name											
Address for Correspondence											
City										State	
Country										PIN	
Age											

to receive the securities in this account on behalf of the nominee in the event of the death of the Sole holder / all Joint holders. This nomination is in accordance with the **Section 109 A of the Companies Act, 1956**, and shall supersede any prior nomination made by me / us and also any testamentary document executed by me / us.

Place : _____ Date : _____

	First / Sole Holder	Second Holder	Third Holder
Name.			
Specimen Signature	⊗	⊗	⊗

Note : Two witness shall attest signature(s) / thumb impression.
 Details of the Witness

	First Witness	Second Witness
Name of witness		
Address of Witness		
Signature of Witness		

(To be filled by DP)
 Nomination accepted and registered with Registration No. _____ dated _____
 For **CD EQUISEARCH PVT. LTD.**

(Authorised Signatory)



Central Depository Services (India) Ltd. (CDSL)

28th Floor, P.J. Towers, Dalal Street
Mumbai - 400 023, Phone 27233333 Fax : 91 22 2723199

Electronic Access to Securities Information (easi) Registration Form-Beneficial Owner (BO)



Name of the Beneficial Owner(s)	1.	
	2.	
	3.	
Beneficial Owner ID	12027700	
Login Id		
DP Name	CD EQUISEARCH PVT. LTD.	
DP ID	12027700	
DP Address	37, Shakespeare Sarani, 1st Floor Kolkata-700 017 West Bengal India	
E-mail Address of the BO (compulsory and it should be correct)		
Tel. No. :		
Mobile :		

I/We would like to register above mentioned account for the **easi** service. I/We am/are aware that **easi** service is available to me/us which only enables me/us to view the security balances lying in the said accounts. I/We hereby agree to the terms and conditions that I/We have read earlier for availing the said service.

Date.....

Place.....

Signature(s)

⊗	⊗	⊗
First Holder	Second Holder	Third Holder

This is to certify that

1. _____ 2. _____ 3. _____

Date Place

are maintaining BO A/c no. 12027700..... with us. We have verified the signatures of the said account holders and they match with the specimen signatures as per our records.

Date

Place

Signature (Authorised Signatory & Stamp of DP)

Dear Sir / Madam

Please fill up this form by following the guidelines as given below, for viewing your holding through internet.

1. Please leave the login id blank. It will be provided by us.
2. Password will be sent by CDSL directly to your mail id.
3. For viewing holding in future, go to the site www.cdslindia.com, put your login id (which will be provided by us) and password (which will be provided by CDSL) and then you can view your holding.

Annexure
AGREEMENT BETWEEN THE PARTICIPANT AND THE CLIENT FOR PROVIDING THE TRANSACTION STATEMENT THROUGH INTERNET

This agreement made and entered into this day of between

 having his/her office/residence address at.....

(hereinafter called 'the Client') and **CD Equisearch Pvt. Ltd.** having its registered office at 37, Shakespeare Sarani, Kolkata - 700 017 hereinafter called "**the Participant**"

Witnesseth

WHEREAS the Client has permitted the Participant to provide the transaction statement through internet (web-based and/or email).

NOW THEREFORE in consideration of the Participant having agreed to provide the transaction statement through internet (web-based and/or email), both the parties to the agreement hereby covenant and agree as follows:

General :

1. BO/Client(s) is/are aware that Transaction statements will not be received in the paper form. It will be received by email or on website.
2. The Client(s) shall immediately inform the DP about change in email address, if any.
3. BO/Client(s) will take all the necessary steps to ensure confidentiality and secrecy of the login name and password of the internet/email account.
4. BO/Client(s) is/are aware that the transaction statement may be accessed by other entities in case the confidentiality/secrecy of the login name and password is compounded.
5. If the Client(s) is/are desirous of receiving transaction statement in paper form, DP shall be duty bound to provide the same.

E-mail :

1. The transaction statements shall be digitally signed in accordance with the Information Technology Act, 2000.
2. The transaction statements will be password protected.

Website :

1. DP must implement Secure Socket Layer (SSL) security for their Internet facility featuring site authentication, encrypted communication and appropriate client authentication so as to restrict the access of transaction statements only to the Client. The transaction statements made available on website should be digitally signed in accordance with the Information Technology Act, 2000.
2. The transaction statement will be available on the website for a period of at least 12 months.

DP and Client(s) will have the right to terminate such service provided a written notice is given at least 10 days in advance to the other party.

IN WITNESS WHEREOF the Participant and the Client has caused these presents to be executed as of the day and year first above written.

E-mail ID : _____
 (Please write only ONE valid e-mail ID on which communication; if any, is to be sent)

Signed and delivered by
 For and on behalf of **CD EQUISEARCH PVT. LTD.**

_____ (Witness) _____
 by the hand of its authorised representative

Shri _____ Name : _____

Signed and delivered by _____ Address _____

⊗ _____

_____ First Holder (for and on behalf of the BO)

⊗ _____ (Witness)

_____ Second Holder Name : _____

⊗ _____ Address _____

_____ Third Holder

Signature of 1st Holder

Signature of 2nd Holder

Signature of 3rd Holder

AUTHORITY LETTER IN CASE OF HUF

To
CD EQUISEARCH PRIVATE LIMITED
37, Shakespeare Sarani, Kolkata - 700 017

I, _____ is the present Karta of the said HUF.

I declare that the said members given below are in my Hindu Undivided Family [HUF]

Sl. No.	Member's Name	Relation with Karta	Age	Signature of Member
1.				
2.				
3.				
4.				
5.				

⊗ _____
Karta's Signature with Stamp

CD Equisearch Private Limited

Regd. Office : 37, Shakespeare Sarani, Kolkata-700 017
Tel. : 033 22805601 / 02, Fax : 22892557

Details of In-Person Verification

Client Name : _____

Branch Manager / Sub-Broker Name : _____

Address of the Branch / Sub-Broker : _____

Signature of Branch Manager / Sub-Broker : _____

Date of Verification : _____

Place of Verification : _____

Name of the Employee doing the Verification : _____

Signature of the Employee doing the verification : _____

Signature of the Client : ⊗ _____

Details of Guardian (if First Holder is a minor)

First Name												
Middle Name												
Last / Search Name												
Relationship with the applicant												
Correspondence Address												
City							State					
Country							PIN					
Telephone No.	Fax No.							Mobile No.				
PAN												
E-mail ID												

For NRIs

Foreign Address												
City							State					
Country							PIN					

----- (Please Tear Here) -----

(To be filled by the Depository Participant)

(Perforated Card)

DP ID	1	2	0	2	7	7	0	0	Client ID								
	First / Sole Holder				Second Holder				Third Holder								
Name																	
Specimen Signatures	⊗				⊗				⊗								

----- (Please Tear Here) -----

Acknowledgement Receipt**Application No. :****Date :**

We hereby acknowledge the receipt of the Account Opening Application Form :

Name of the Sole / First Holder											
Name of Second Joint Holder											
Name of Third Joint Holder											

Depository Participant Seal and Signature

INSTRUCTION FOR RM / INTRODUCER

1. The PAN is checked from the Income Tax site and duly signed by the concerned RM/Introducer.
2. All documents are stamped as 'verified against original' and duly signed by the concerned RM/Introducer.
3. The RM/Introducer must ensure that all the documents are self-attested by the concerned BO.

CHECK LIST AND GUIDELINES FOR BO

Sl.No.	CATEGORY	INFORMATION / DOCUMENTATION TO BE SUBMITTED WITH SIGNATURES OF BO	✓ / X
1.	INDIVIDUAL:	<p>Proof of Identity for all the holders:</p> <ul style="list-style-type: none"> (i) Pan Card Mandatory (Pan application letter not acceptable) Should be verified from website of income tax department. (ii) Photocopy of Passport (iii) Photocopy of voters ID (iv) Photocopy of Driving License Card <p>Proof of Address for all the holders: (Both permanent and correspondence address proof of 1st holder, if they are different and only permanent for other holders.)</p> <ul style="list-style-type: none"> (i) Photocopy of Ration Card. (ii) Photocopy of voter ID. (iii) Photocopy of Driving License. (iv) Photocopy of Bank Passbook having name & address of BO. (v) Photocopy of Bank Statement having name & address of BO and not more than 4 months old together with a copy of a cheque of the same a/c. (vi) Electricity Bill or Telephone Bill (not more than 2 months old). <p>Proof of Bank details: (Of first holder only) Cancelled Cheque bearing name or bank statement or bank passbook. In last two case MICR no must be written by client and then self-attestation must be done.</p>	
2.	MINOR:	<ul style="list-style-type: none"> (i) Pan Card of Minor and Guardian Mandatory (Pan application letter not acceptable) Should be verified from website of income tax department. (ii) Birth Certificate of Minor. (iii) Proof of address & proof of Identity documents of the guardian required. The same proofs required to be given as the individual clients mentioned above. <p>Proof of Bank details: (of Minor) Cancelled Cheque bearing name or bank statement or bank passbook. In last two case MICR no must be written by client and then self-attestation must be done. Photograph of minor and guardian required to be affixed with signature of guardian across the photograph of minor with natural guardian stamp. Same to be applied in all signature places. Minor a/c to be in sole holder name and only guardian will sign at all places with stamp.</p>	
3.	HUF:	<ul style="list-style-type: none"> (i) Account to be opened in the name of HUF only. (ii) The correspondence address should be that of the HUF or the Karta of HUF. (iii) The bank details should be that of the HUF. (iv) All the documents, as mentioned above for account opening of individual investors, will be required to be submitted for the Karta of HUF. (v) PAN card and bank passbook of HUF indicating its existence. (vi) PAN card of Karta. (vii) Declaration by the Karta giving details of the family members of the HUF with their names, date of birth, sex and relationship with the Karta. (viii) The Karta should sign the AOF and the declaration under the stamp of HUF. (ix) HUF accounts cannot be opened with joint holders. (ix) No nomination can be made in HUF account. (x) All the members of HUF should sign while executing POA. 	
4.	NRI:	<ul style="list-style-type: none"> (i) All the relevant documents as required to be submitted by an individual. (ii) Proof of Identity (copy of passport/driving license). (iii) Proof of foreign address and Indian address (if any). [In case of an NRI A/c without repatriation, proof of Indian address has to be given.] (iv) Bank account details. (v) Power of attorney, if any. (vi) PAN card. (vii) A declaration duly signed by the NRI that he/she has complied with, and will continue to comply with FEMA regulation and other applicable laws. <p>Note: Photocopies of the documents, if accepted, shall be attested by the Indian Embassy / Consulate General / Notary Public / Any Court / Magistrate / Judge / Local Banker in the country where the NRI resides. The attesting authority shall affix a "verified with original" stamp on the said documents. The photocopies of the KYC documents and PAN card shall also be signed by the NRI. If the NRI comes in person to open the account, the above attestation requirement may be waived.</p>	



CDSL
Your Depository

Pledge Request Form (PRF)

CD EQUISEARCH PRIVATE LIMITED



Depository Participant of Central Depository Services (India) Limited

Regd. Off. : 37, Shakespeare Sarani, Kolkata-700 017, Tel. : 3355 0000, Fax : (033) 2289 2557

SEBI Reg. No. IN-DP-CDSL-180-2002

DP ID : 12027700

Please fill all the details in **Block Letters** in English

PRF. No.	Date	2 0							
		D	D	M	M	Y	Y	Y	Y

I/We request you to **pledge** the following securities held in my / our name in our demat account with you. I/We have read and understood the Depositories Act, SEBI Regulations, Bye Laws and Pledge Agreement in relation to pledge of securities and I/We agree to abide by and be bound the Act, Regulations, Bye Laws and the Pledge Agreement as are in force from time to time for such **Pledge**.

Pledger's Details																						
DP ID													Client ID									
Pledger's Name	1. 2. 3.																					

Pledgee's Details																						
DP ID													Client ID									
Pledgee's Name	1. 2. 3.																					

Details of Securities : Free Securities Locked-in Securities

Details of Securities offered for Pledge				
S.No.	ISIN	ISIN Name	Quantity	PSN (System Generated)
1.				
2.				
3.				
4.				

Attach an annexure duly signed by the account holder(s), if the space above is insufficient

Pledge Expiry Date													2	0
Pledge Value (Rs.)														
Agreement No.														
Date of Pledging													2	0

I/We declare that the above details of the beneficial owners stated above are true to the best of my / our knowledge

Signature of the Pledger		
First / Sole Applicant	Second Applicant	Third Applicant

I/We confirm that the particulars given by me/us above are true and correct. Also the balance of ISIN's offered for pledge is unencumbered and is a free balance.

I/We agree to accept the above securities for pledge and a pledge be created in my/our favour. This pledge is subject to the Act, Regulation, Bye Laws as are in force from time to time and also to the pledge agreement entered into with the pledgor(s) mentioned above.

Pledge Sequence Number	Accepted	Rejected

Tick whichever is applicable.

Signature of the Pledgee(s)*

First Holder	Second Holder	Third Holder

* If the Pledgee is a bank DP, the signature of the pledgee need not be taken on the Pledge request form.

For CD Equisearch (P) Ltd.

Authorised Signatory

(Seal of the Depository participant)

